IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant:

Yoshiaki KOMMA

Examiner:

unknown

Serial No.:

10/789309

Group Art Unit:

n/a

Filed:

February 26, 2004

Docket:

10873.1406US01

PATENT TRADEMARK OFFICE

Due Date:

May 26, 2004

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OPTICAL HEAD DEVICE AND OPTICAL INFORMATION DEVICE USING THE SAME, COMPUTER, OPTICAL DISK PLAYER, CAR NAVIGATION SYSTEM, OPTICAL DISK

RECORDER, AND OPTICAL DISK SERVER

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 26, 2004.

Name: Sarah Monfeldt

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Information Disclosure Statement, Form 1449, 11 Reference(s)

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional feet or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Douglas P. Mueller

Reg. No.: 36,300 D. Mueller:smm

PATENT

S/N 10/789309

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Title:

OPTICAL HEAD DEVICE AND OPTICAL INFORMATION DEVICE USING THE SAME, COMPUTER, OPTICAL DISK PLAYER, CAR NAVIGATION SYSTEM, OPTICAL DISK RECORDER, AND OPTICAL

DISK SERVER

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450 on May 17, 2004.

Name: Sarah Monfeld

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

US 5,446,565 is an English equivalent of JP 7-98431. US 6,201,780 is an English equivalent of JP 10-334504.

US 6,442,124 is an English equivalent of JP 11-296890. US 6,118,594 is an English equivalent of JP 2000-81566.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

23552
PATENT TRADEMARK OFFICE

Dated: May 17, 2004

DPM:smm

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

(612) 332-5300/

Douglas P. Mueller

Reg. No. 30,300

FORM 1449*	
EN	FORMATION DISCLOSURE STATEMENT
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Docket Number:	Application Number:
10873.1406US01	10/789309

Applicant: Yoshiaki KOMMA

Filing Date: February 26, Group A

2004

Group Art Unit: n/a

			U	S. PATENT DOCUMEN	NTS				
EXAMINER INITIAL	DOCUMENT NO.		DATE	NAME	CLASS	SUBCLASS	FILING DA		
	US 5,446,56	55	Aug. 1995	KOMMA et al.					
	US 6,201,780 US 6,442,124		March 2001	KATAYAMA					
			Aug. 2002	CHUNG et al.					
	US 6,118,594		Sept. 2000	MARUYAMA					
			FOR	EIGN PATENT DOCUN	1ENTS				
	DOCUMENT NO.		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
							YES	NO	
	JP 7-98431		April 1995	JAPAN			US 5,446,565		
	JP 10-334504		Dec. 1998	JAPAN			US 6,201,780		
	JP 11-296890 JP 11-339307		Oct. 1999	JAPAN			US 6,442,124		
			Dec. 1999	JAPAN			Abstract		
	JP 2000-81566		March 2000	JAPAN			US 6,118,594		
		OTHER	DOCUMENTS	(Including Author, Title,	Date, Pertinent F	Pages, Etc.)			
				Blue/DVD/CD Compatibl		vith Three Wavele	ngths and a Wavel	ength	
		N. Kaiho, et al., "DVD/CD Compatibility using Blu-ray Disc Pick Up", Extended Abstracts (The 63rd Autumn Meeting, 2002); The Japan Society of Applied Physics, No. 3, September 2002, 27p-YD-5, pp.1008 (with partial translation)							

23552
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.